

Family Name: .....

First Name: .....

Section: .....

# Advanced Cryptography

Midterm Exam

May 22<sup>th</sup>, 2007

Duration: 3 hours 45 minutes

This document consists of 11 pages.

## Instructions

Electronic devices are *not* allowed.

Answers must be written on the exercises sheet.

This exam contains 2 *independent* exercises.

Answers can be either in French or English.

Questions of any kind will certainly *not* be answered. Potential errors in these sheets are part of the exam.

You have to put your full name on the first page and have all pages *stapled*.

# 1 Substitution-Permutation Networks

We consider a block cipher  $C : \{0, 1\}^n \times \{0, 1\}^k \rightarrow \{0, 1\}^n$  based on a substitution-permutation network (SPN).  $C$  is defined on  $n$ -bit plaintext  $x$  and  $k$ -bit key  $K$  and outputs an  $n$ -bit ciphertext  $y$ .  $C$  consists of  $r - 1$  rounds as described on Figure 1 followed by a round depicted on Figure 2.

Each round  $i$  uses a subkey  $K_i$  except for the last round which uses two subkeys  $K_r$  and  $K_{r+1}$ . All subkeys are derived from  $K$ .

Each round uses  $b$  substitution boxes (s-boxes)  $S_1, \dots, S_b$  in parallel over  $W$  and a bijective mapping  $L : W^b \rightarrow W^b$  where  $W = \{0, 1\}^{\frac{n}{b}}$ . We say that  $L$  is linear in the sense that  $L(x + y) = L(x) + L(y)$  for any  $x$  and  $y$ .

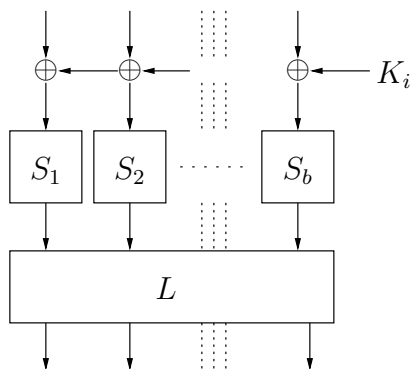


Figure 1: The  $i^{\text{th}}$  round of  $C$  for  $1 \leq i < r$ .

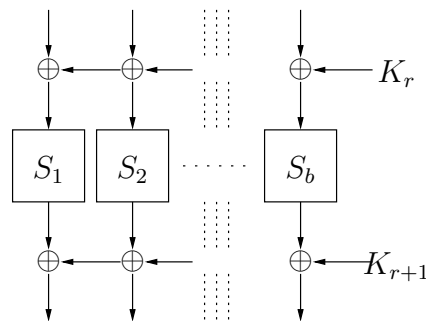


Figure 2: The last round of  $C$ .

1. What are the respective values of  $n$ ,  $k$ , and  $b$  for the AES?

2. Which AES subroutine plays the role of  $L$ ?

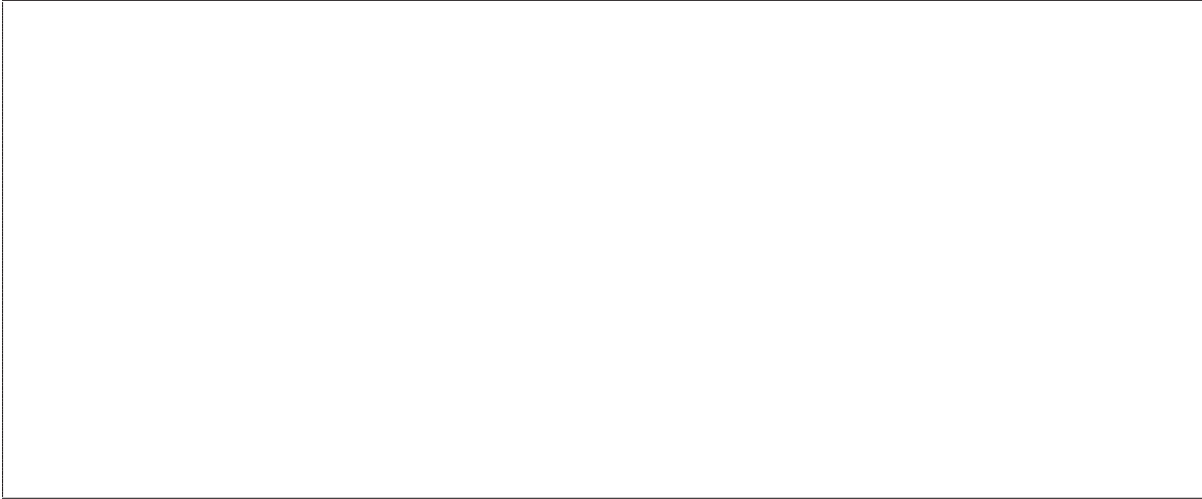
We define the *branch number*  $B$  of a linear mapping  $f : W^b \leftarrow W^b$  by

$$B = \min_{x \neq 0} [\text{hw}(x) + \text{hw}(f(x))]$$

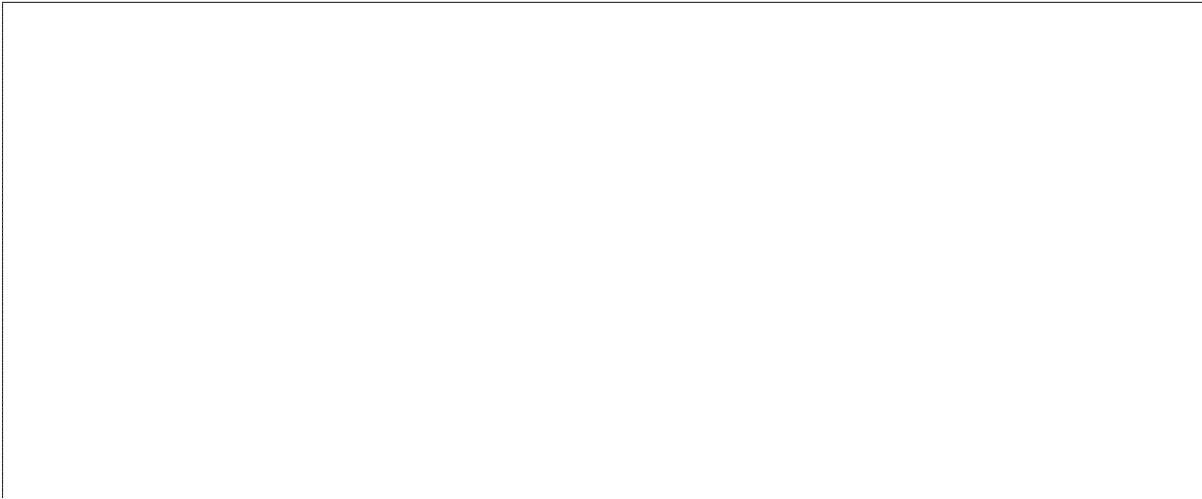
where the  $\text{hw}(x)$  is the hamming weight *per element*, i.e. the number of non-zero  $W$ -element of the vector  $x$  (of  $b$  elements).

3. Show that  $2 \leq B \leq b + 1$ .

4. Recall the definition of a multipermutation for  $f$ .

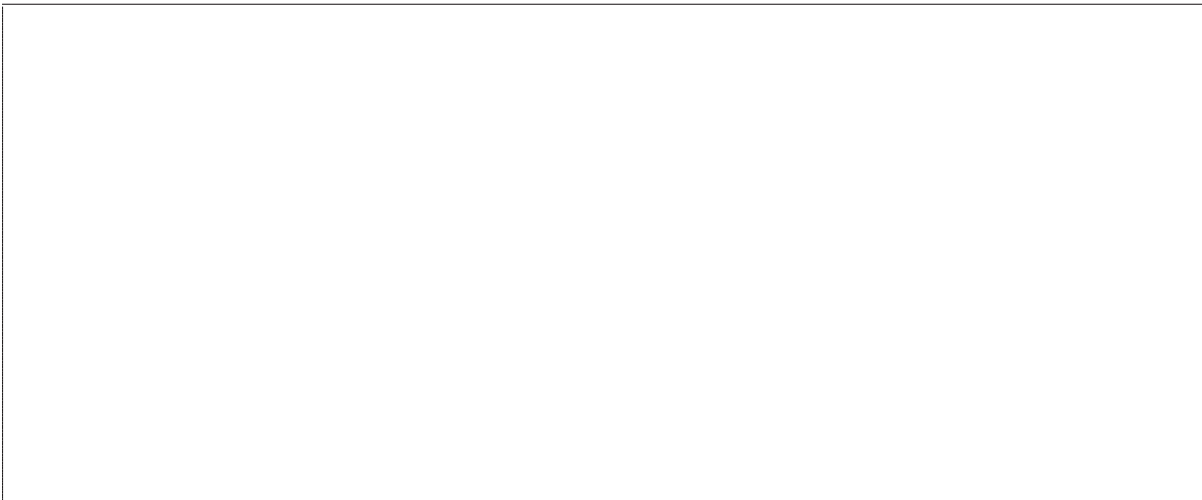


5. Show that a linear multipermutation from  $W^b$  to  $W^b$  is equivalent to a linear mapping with branch number equal to  $b + 1$ .



6. What is the branch number  $B$  of  $L$  in the case of the AES?

**Hint:** The 4x4 matrix in MixColumns defines a multipermutation.



Let  $X$  and  $X'$  be two *distinct* inputs of  $C$  and let  $\Delta X = (\Delta X_1, \dots, \Delta X_b) = X \oplus X'$ . We say that the s-box  $S_i$  in round  $j$  is *active* if its input value is different from the  $C_K(X)$  to the  $C_K(X')$  calculations.

7. Let  $X$  and  $X'$  be two *distinct* inputs of  $C$ . Give  $\ell$ , the minimum number of active s-boxes in terms of the branch number  $B$  when  $r = 1$  and when  $r = 2$ .

Deduce the value  $\ell$  for the general case in terms of  $B$  and  $r$ .

We define the coefficient  $DP_{\max}^S$  of the s-boxes by

$$DP_{\max}^S = \max_{\alpha \neq 0, \beta, i} DP^{S_i}(\alpha, \beta)$$

A differential characteristic of  $C$  is a tuple  $\Omega = (\Delta_1, \Delta_2, \dots, \Delta_{r+1})$  where  $\Delta_i$  is the input difference at the round  $i$  for  $1 \leq i \leq r$  and  $\Delta_{r+1}$  is the output difference of  $C$ . We assume  $\Delta_1 \neq 0$ . We define

$$P(\Omega) = DP^{C_1}(\Delta_1, \Delta_2) \cdot DP^{C_2}(\Delta_2, \Delta_3) \cdot \dots \cdot DP^{C_r}(\Delta_r, \Delta_{r+1}).$$

Let  $P_{\max} = \max_{\Omega} P(\Omega)$ .

8. Show that

$$P_{\max} \leq (DP_{\max}^S)^\ell$$

where  $\ell$  is defined in question 7.

9. It can be shown that  $DP_{\max}^S = 2^{-6}$  for the AES. What is the value of  $P_{\max}$  for the AES when  $r = 4, 6, 8$ ?

For simplicity, we consider that the AES is made of  $r$  *identical* rounds. In other words, the last round is equal to the previous ones.

10. Denote by  $G$  the two first rounds of AES “glued” together. What is the branch number of  $G$ ?

11. Give a new bound on the maximal probability of a differential characteristic  $P_{\max}$  of the AES on  $r$  rounds when  $r$  is even.

12. As before,  $DP_{\max}^S = 2^{-6}$  for the AES. What is the value of  $P_{\max}$  for the AES  $r = 4, 6, 8$ ?

## 2 Finding Collisions

Let  $\mathcal{D}$  be some finite set and  $f : \mathcal{D} \rightarrow \mathcal{D}$  be a function defined on this set. Our objective is to find a collision on  $f$ , i.e., a pair  $(x, y) \in \mathcal{D}^2$  such that  $f(x) = f(y)$  and  $x \neq y$ . Given an initial element  $x_0 \in \mathcal{D}$ , define the sequence  $\{x_i\}_{i \geq 0}$  by  $x_i = f(x_{i-1})$ .

1. Explain why the sequence *eventually* becomes periodic.

There must exist  $\lambda$  and  $\mu$  such that  $x_0, \dots, x_{\mu+\lambda-1}$  are all distinct but  $x_i = x_{i+\lambda}$  for all  $i \geq \mu$ . The elements  $x_0, \dots, x_{\mu-1}$  form the *tail* of the sequence, the elements  $x_\mu, \dots, x_{\mu+\lambda-1}$  constitute the *cycle* of the sequence. This is represented on Figure 3. Obviously, the pair  $(x_{\mu-1}, x_{\mu+\lambda-1})$  is a collision for  $f$ .

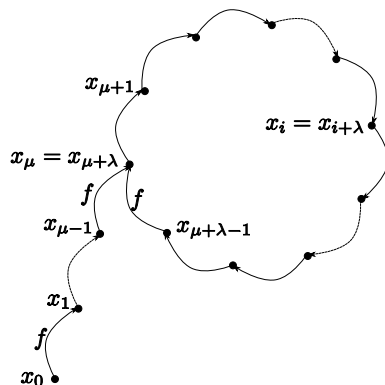


Figure 3: The tail and the cycle of the  $\{x_i\}$  sequence.

2. We assume in this question that the exact value of  $\lambda$  is known (we will see later how to compute this value). Give the value of  $\theta$  for which Algorithm 1 outputs a collision for  $f$ . Give, in terms of  $\lambda$  and  $\mu$ , the total number of evaluations of the function  $f$  in this algorithm.

```

 $x \leftarrow x_0$ 
 $y \leftarrow x_0$ 
for  $i = 1, \dots, \theta$  do
   $x \leftarrow f(x)$ 
end
loop
   $x' \leftarrow f(x)$ 
   $y' \leftarrow f(y)$ 
  if  $x' = y'$  then return  $(x, y)$ 
   $x \leftarrow x'$ 
   $y \leftarrow y'$ 
end

```

**Algorithm 1:** Finding a Collision on  $f$  when  $\lambda$  is known (for a given  $x_0$ ).

From the previous question we know that, for a given  $x_0$ , the knowledge of  $\lambda$  is sufficient to efficiently find a collision on  $f$ . We now consider the problem of finding this value  $\lambda$ . For this we consider Algorithm 2, which outputs  $\lambda$  with probability  $p$  (when  $f$  is sampled uniformly at random) or loops forever.

```

 $x \leftarrow x_0$ 
 $y \leftarrow x_0$ 
 $i, j \leftarrow 0$ 
loop
   $x \leftarrow f(x)$ 
   $i \leftarrow i + 1$ 
  if  $x = y$  then return  $i - j$ 
  if  $x < y$  then  $y \leftarrow x$  and  $j \leftarrow i$ 
end

```

**Algorithm 2:** Finding  $\lambda$  for a given  $x_0$ .

3. Explain in which case Algorithm 2 terminates and which case it does not. Deduce the value of  $p$  in terms of  $\lambda$  and  $\mu$ .



4. Consider the case where Algorithm 2 terminates. Show that on average it performs  $\mu + \frac{3}{2}\lambda$  evaluations of the function  $f$ .

Denoting  $N$  the cardinality of  $\mathcal{D}$ , it can be shown that on average  $\mu = \lambda = \sqrt{\pi N/8}$ .

5. Using the results of the previous questions, show that on average one needs  $8 \cdot \sqrt{\pi N/8}$  evaluations of  $f$  to find a collision using algorithms 1 and 2. What can you say about the memory requirements of this method?

We now want to improve the running time of the previous method by using a *partitioning technique* in Algorithm 2. We replace Algorithm 2 by Algorithm 3. We denote  $p'$  the probability that Algorithm 3 outputs  $\lambda$  (so that this algorithm loops forever with probability  $1 - p'$ ).

```

 $x \leftarrow x_0$ 
 $y_0, y_1, \dots, y_{k-1} \leftarrow \infty$ 
 $y_{x \bmod k} \leftarrow x$ 
 $i, j_0, j_1, \dots, j_{k-1} \leftarrow 0$ 
loop
   $x \leftarrow f(x)$ 
   $i \leftarrow i + 1$ 
  if  $x = y_{x \bmod k}$  then return  $i - j_{x \bmod k}$ 
  if  $x < y_{x \bmod k}$  then  $y_{x \bmod k} \leftarrow x$  and  $j_{x \bmod k} \leftarrow i$ 
end

```

**Algorithm 3:** Finding  $\lambda$  for a given  $x_0$  using  $k$  partitions.

6. Explain in which case Algorithm 3 terminates and which case it does not. Deduce the value of  $p'$  in terms of  $\lambda$ ,  $\mu$ , and  $k$ .

7. Let  $S$  denote the number of partitions for which the minimum lies on the cycle. Consider the case where Algorithm 3 terminates (so that  $\Pr[S = 0] = 0$ ). Assuming (for simplicity) that  $\mu = \lambda$ , compute  $\Pr[S = u]$  for  $0 < k \leq u$ .

8. Consider the case where Algorithm 3 terminates and assume that  $\mu = \lambda$ . Using the previous question, show that on average it performs  $\mu + \lambda + \frac{\lambda}{k+1}(2 - \frac{k}{2^k-1})$  evaluations of the function  $f$ .

9. Assume that  $1 \ll k \ll \sqrt{N}$  and that  $\mu \approx \lambda \approx \sqrt{\pi N/8}$ . Using the previous questions, show that on average one needs  $5 \cdot \sqrt{\pi N/8}$  evaluations of  $f$  to find a collision using algorithms 1 and 3. What can you say about the memory requirements of this method?